

Assessing Fuel Moisture in Boreal and Arctic Ecosystems with Active and Passive Microwave Imagery

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Collaborators: John Kimball (University of Montana), Timothy Lynham and Chelene Hanes (Canadian Forest Service), Randi Jandt (UAF), Joseph Buckley (Royal Military College of Canada)





Presentation Outline

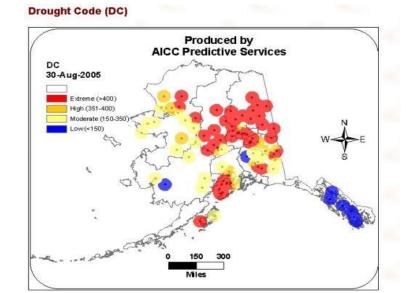
Motivation for work: improve fire danger assessment with satellite microwave imagery

- What are limitations in the current fire weather-based system for assessing fire danger?
- What is the difference in Passive and Active Microwave systems?
- What is SMAP and how may it be useful for organic layer fuel moisture assessment?
- Early results focused on assessing SMAP products for fuel moisture assessment (Compare to FWI and Fire Occurrence)
- Utility of high resolution polarimetric radar for improved high resolution organic layer fuel moisture assessment
- Summary and next steps



Introduction

- Weather based indices (e.g for CFFDRS) are invaluable for fire danger prediction
- they could be improved or augmented with high frequency repeat, satellite information. Satellite data can help by providing direct measures of organic soil moisture (FWI codes) to improve:
 - Spatial extent: i.e. areas without weather stations
 - Monitoring non-weather related changes in soil moisture (i.e. mid-summer ground thaw)
 - CFFDRS developed for more southerly boreal Canada
 - Doesn't work everywhere in the Arctic-Boreal consistently





Passive vs. Active Microwave

- Objects on the Earth's surface naturally emit microwave radiation, although at relatively small energy levels.
 - Passive microwave sensors detect this naturally emitted microwave energy which is a function of the object's temperature and moisture properties.
 - □ Need a large field of view to record low energy → low resolution (example: AMSRE, SMOS, SMAP), high repeat
- Active microwave sensors emit their own microwave energy and record what is scattered back towards the antenna.
 - What is backscattered is a function of the image object's moisture and structure.
 - Synthetic apertures and short pulse lengths allow high resolution imaging. (example: Radarsat, PALSAR, Sentinel-1) – low repeat

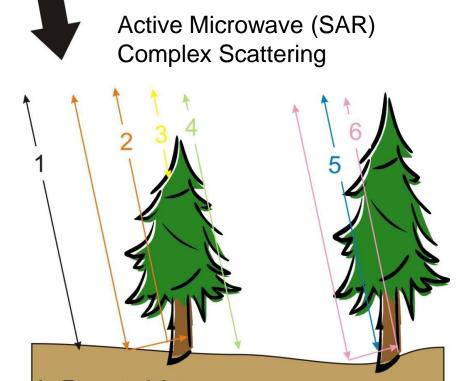


Soil Moisture Retrieval from Beneath Vegetation

Microwaves can penetrate vegetation canopies (depending on frequency) and provide information on the state of the soil surface.

The strength of the microwave signal is strongly dependent on the surface soil moisture (dielectric properties) or inundation condition

Limititations: Microwaves are also influenced by surface or topographic roughness, as well as vegetation structure, water content, and biomass.

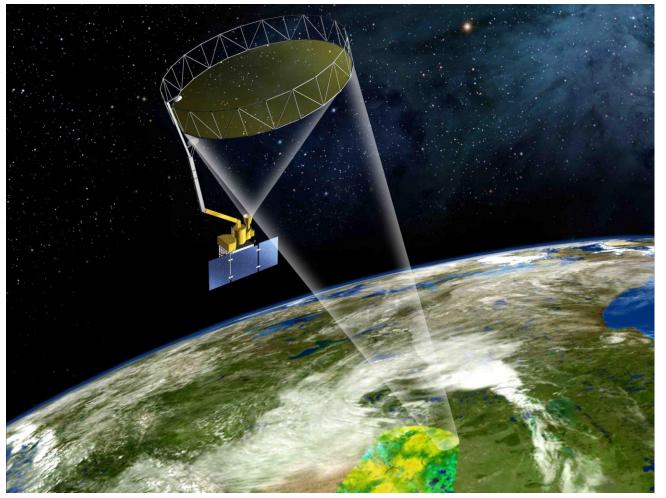


A. Forested Area

Schematic diagram courtesy Chelene Krezek-Hanes CFS

Variability of vegetation structure and roughness across a landscape influences microwave retrieval of soil moisture

What is SMAP (Soil Moisture Active Passive)?



- SMAP provides a capability for global mapping of soil moisture and freeze/thaw state with unprecedented coverage.
- https://www.asf.alaska.edu/smap/global-impact-interactive

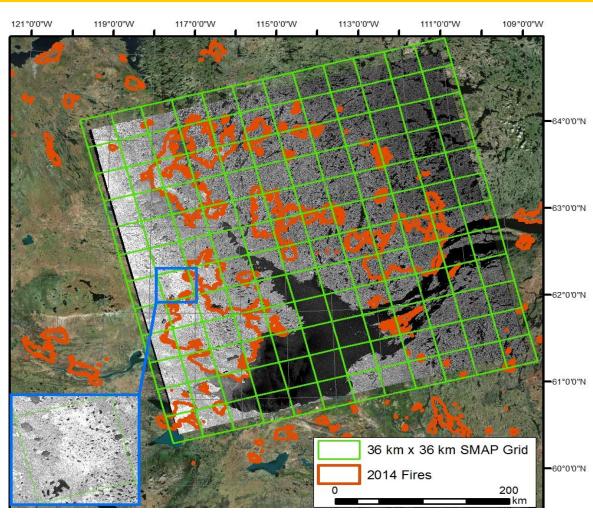
- NASA's L-band passive – active microwave sensors
- ~24 cm wavelength
- 2-3 day repeat
- 36 km resolution
- Global coverage
- Soil moisture products
- Active sensor stopped working July 2015
- Calibration based on agricultural lands primarily- mineral soils
- Needs tuning to organic soils of high northern latitudes



SMAP's Suitability for Fuel Moisture and Fire Danger Assessment in Arctic & Boreal

Key questions concerning the accuracy of SMAP products in arctic and boreal landscapes:

- does it relate to fuel moisture codes
- what depth of moisture is being sensed? 5 cm?
- spatial heterogeneity of soil moisture driven by land cover type
- calibration to organic soils
- impact of extensive surface water found in these regions



Sentinel grey scale image with 36km grid (green)



- Assess the passive microwave 36 km and new 9 km resampled product, as is, for providing 2-3 day repeat information on geospatial organic soil moisture and fire danger assessment
- 2. Further develop the active microwave algorithms for tundra, boreal peatland and boreal upland for both Cand L-band existing SAR satellite platforms
- Assess whether a higher resolution product (3km) can be developed from the integration of the passive SMAP and active satellite SAR data



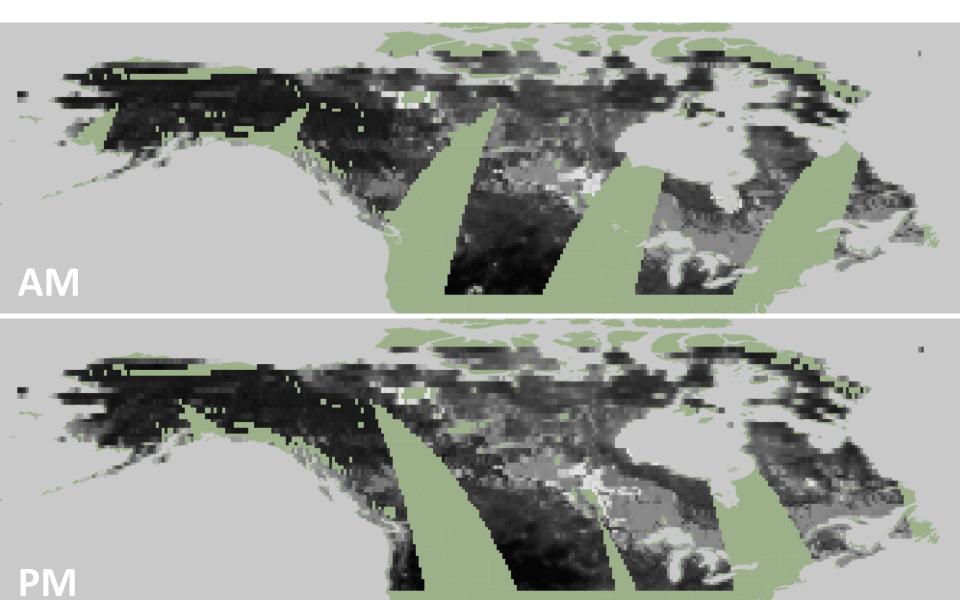
Obj. 1: Compare SMAP to FWI data Early Results

□ SMAP products evaluated:

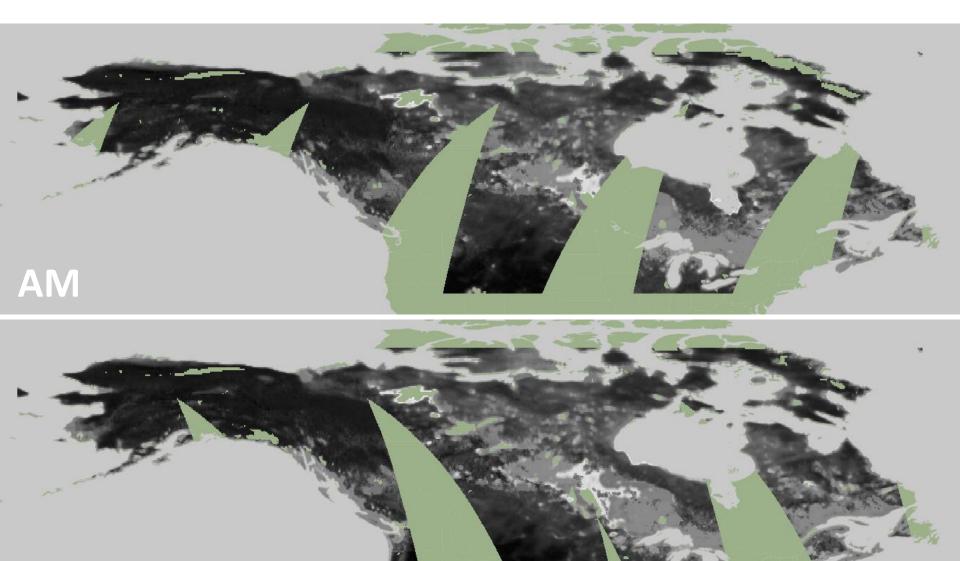
L3 Radiometer Global Daily 36 km Soil Moisture v4

 Enhanced L3 Radiometer Global Daily 9 km Soil Moisture v1

SMAP products were expected to correlate best with the FWI fuel codes representative of the near surface moisture conditions (e.g. FFMC or DMC).



SMAP L3 36 km soil moisture, Sept 9 2015



SMAP L3 9 km soil moisture, Sept 9 2015

PM

FWI from station data

Alaska • Alaska Fire & Fuels (AKFF) • 2015 – 2016

Canada

• provided by **CWFIS**

• 2015 only

Magenta: stations having any "recommended quality" SMAP retrievals for 2015 or 2016 <- very limited in Canada



Obj. 1 Results Comparing SMAP to FWI

 Used multivariate General Additive Modeling to predict FWI indices from SMAP soil moisture retrievals:

FWI index	R ² of best-fit model
BUI	0.53
DC	0.62
DMC	0.52
FFMC	0.18
FWI	0.31
ISI	0.16

Obj. 1: SMAP DC-prediction Model

DC = soil_moisture + elevation + s(day-of-year)* + te(longitude,latitude)* *Non-parametric terms

Adjusted $R^2 = 0.62$

- Data subset:
 - Both AM and PM retrievals; averaged when both were "recommended quality"
 - day-of-year > 145 (~May 25)
 - day-of-year < 288 (~Oct 15)</p>
 - AK: '15-'16; CA: '15 only
- Statistical model: Generalized Additive Model (can include non-parametric relationships)
- Parameters tested but excluded:
 - IGBP landcover, albedo, incidence angle, static_water_body_fraction, roughness

Obj. 1: SMAP-Derived Drought Code



0 - 50

50 - 100

100 - 150

300 - 350

550 - 600

> 600

150 - 200
200 - 250
250 - 300

Predicted DC from SMAP model for date: May 25, 2015

Obj. 1: SMAP-Derived Drought Code



0 - 50

50 - 100

100 - 150

150 - 200 200 - 250 250 - 300 300 - 350 350 - 400 400 - 450 450 - 500 500 - 550 550 - 600 > 600 Predicted DC from SMAP model for date: July 01, 2015

Obj. 1: SMAP-Derived Drought Code



0 - 50

50 - 100

150 - 200200 - 250

250 - 300

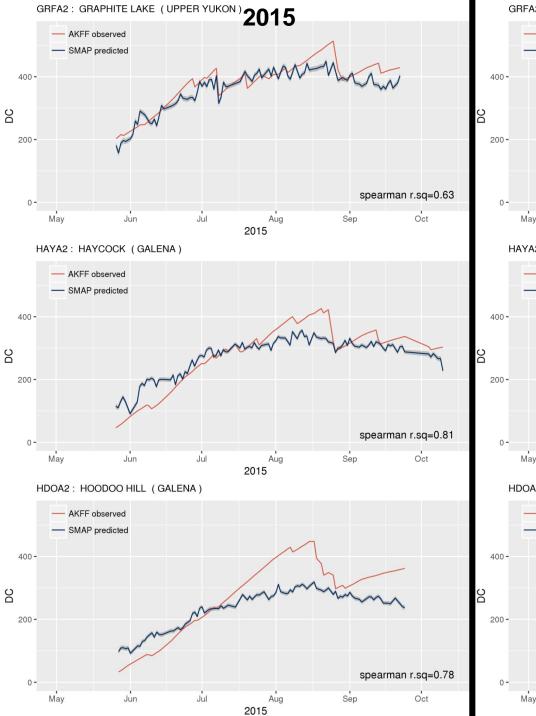
300 - 350

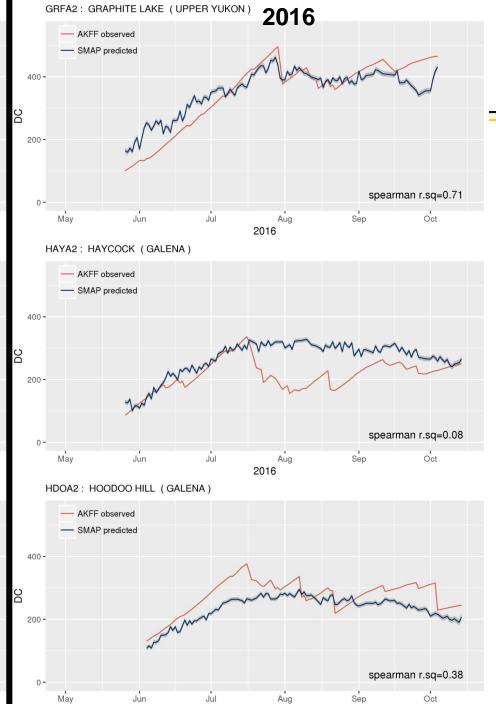
550 - 600

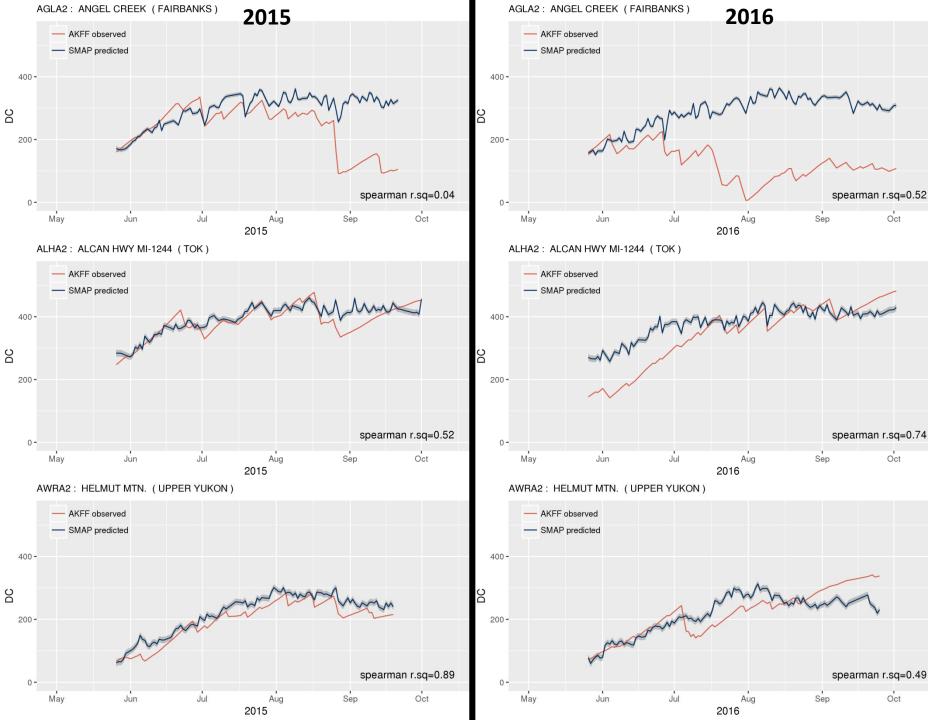
> 600

100 - 150

Predicted DC from SMAP model for date: August 15, 2015







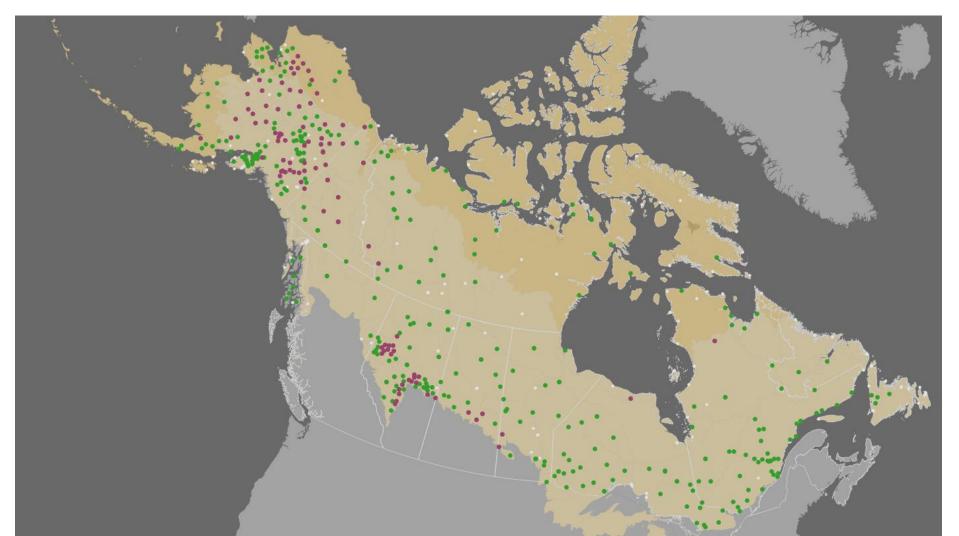
Oct

Oct

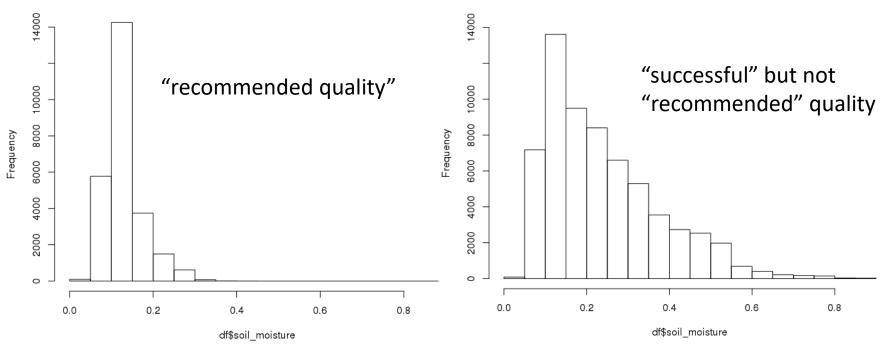
Oct

Obj. 1: Compare SMAP to FWI data – include all stations

- To get better network coverage in Canada, what happens if we include some of the lesser quality SMAP retrievals?
 - Below: green stations are added if we include lesser quality retrievals



- To get better network coverage in Canada, what happens if we include some of the lesser quality SMAP retrievals?
 - Soil moisture value distribution:



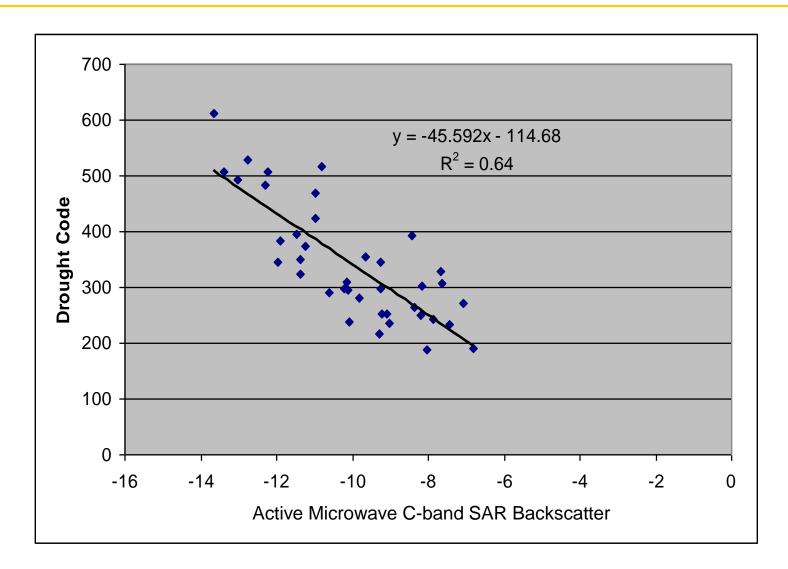
- DC model performance:
 - Adj. R² drops from 0.62 to 0.58



- Build from Single Channel SAR algorithms 1 variable predictor
- Develop improved satellite SAR moisture retrieval algorithms using polarimetric data (multiple variable predictor) to account for effects of biomass and surface roughness on the SAR signal for:
 - · Boreal Uplands
 - Boreal Peatlands
 - · Tundra

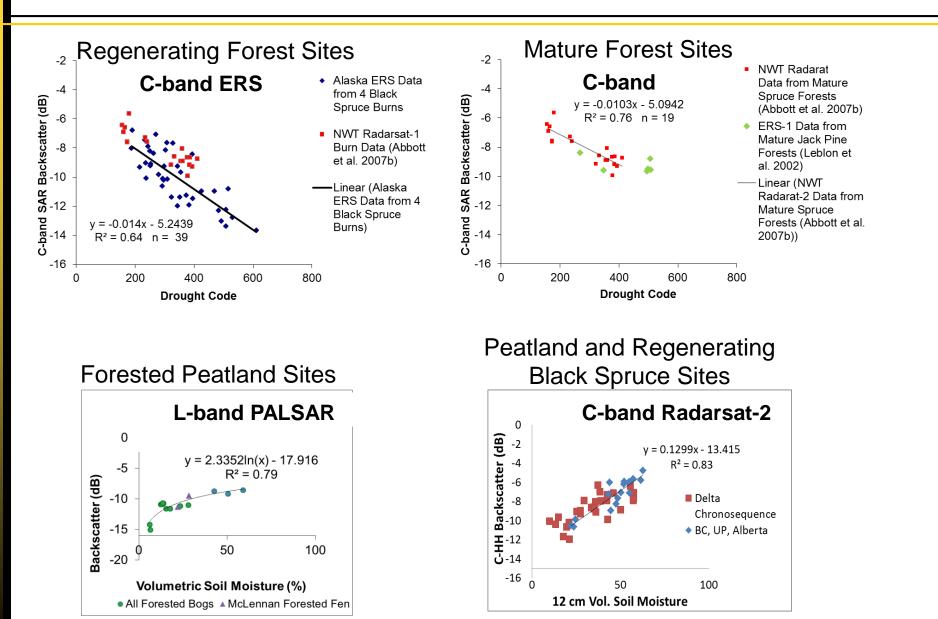


Obj. 2: Early Active Microwave DC Prediction Algorithms from Single Channel C-band From Regenerating Forests

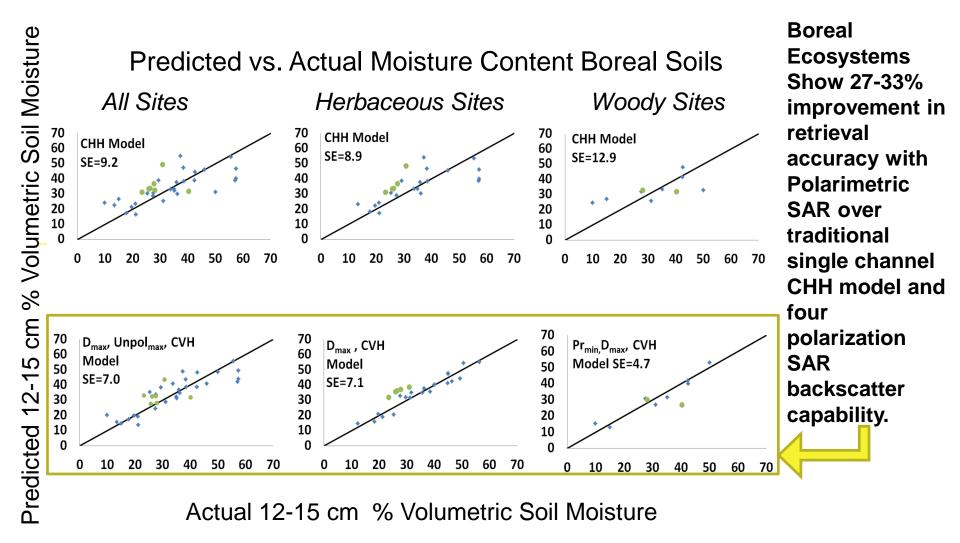


Bourgeau-Chavez et al. 1999 IJWF, 2007 Polar Record

Obj. 2 Active Microwave Algorithms for Single Channel C- and L-band DC and Moisture Retrieval



Obj. 2 Further Develop Active Microwave Algorithms C-band Polarimetry - Uplands



Bourgeau-Chavez et al. 2013 Rem Sens Environment

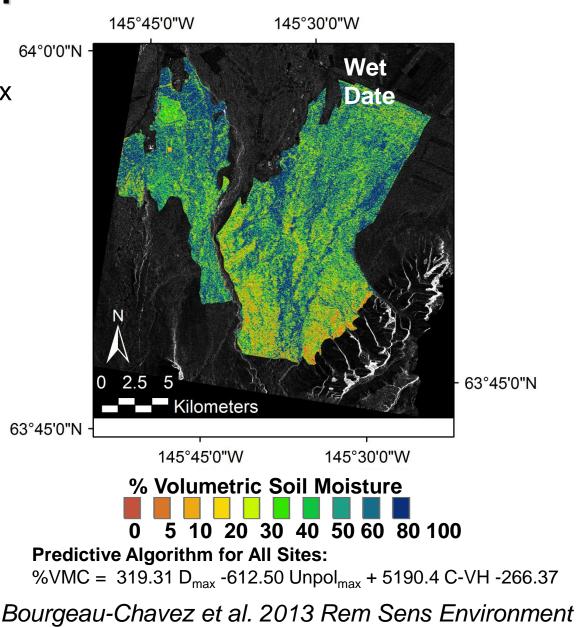
Obj. 2 Polarimetric C-band SAR-Derived Soil Moisture Maps: *Boreal Alaska Site*

Combining variables that appear strongly correlated to ⁶ the structural complexity (max degree polarization) with variables strongly related to soil moisture (CHH, CVV, CVH) improved empirical algorithms by 27-33%

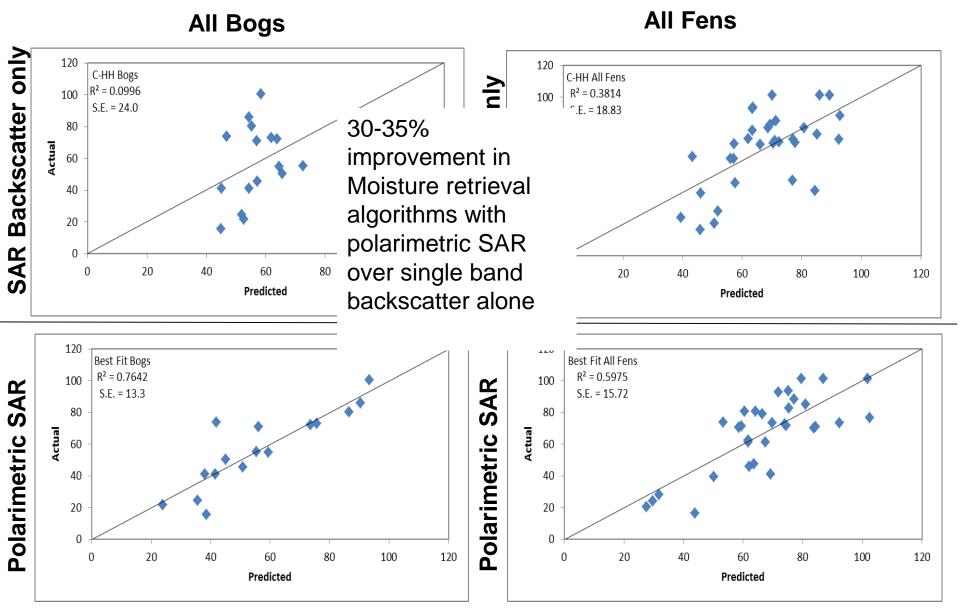
 $R^2 = 0.77$, Accuracy = 6.7% volumetric moisture content (RMSE).

Note: Mature forest areas (> 1.7 kg/m² biomass) are removed from maps.

More research is needed to extend the models to L-band and greater biomass areas



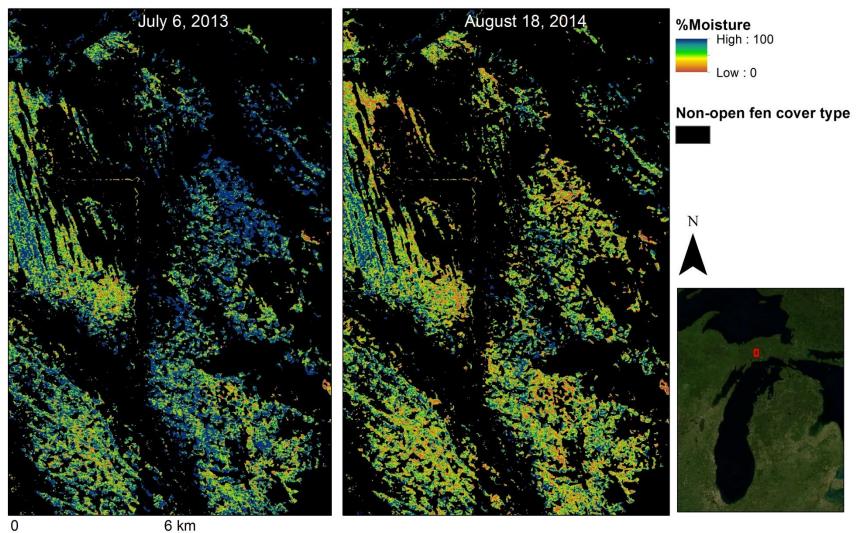
C-band SAR Peatland Organic Soil Moisture Algorithms Predicted vs. Actual Plots





Radarsat-2 Peatland Moisture Maps

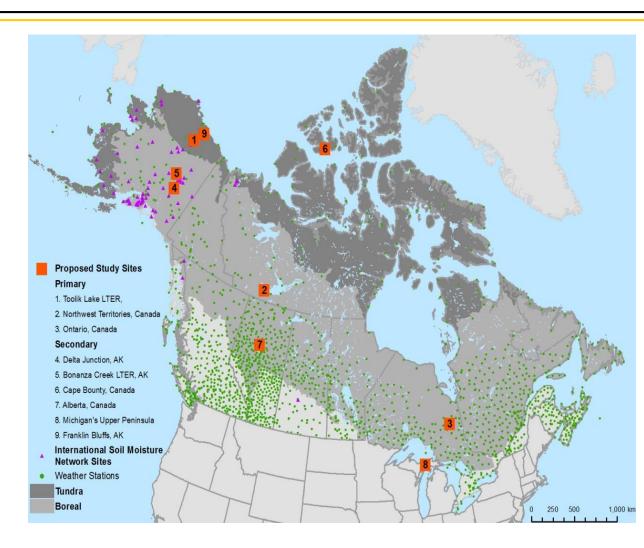
Seney Open Fens on a Wet and Dry date





Obj. 2: *Further* Develop Active Microwave Algorithms for C- and L-band SARs

- Red boxes are primary study sites for Obj. 2. Most are collaborative studies
- The (1) Tundra site, (2) Boreal Peatland and (3) Boreal Upland sites will be instrumented this spring
- Magenta dots have soil moisture and green dots have weather data available for addressing



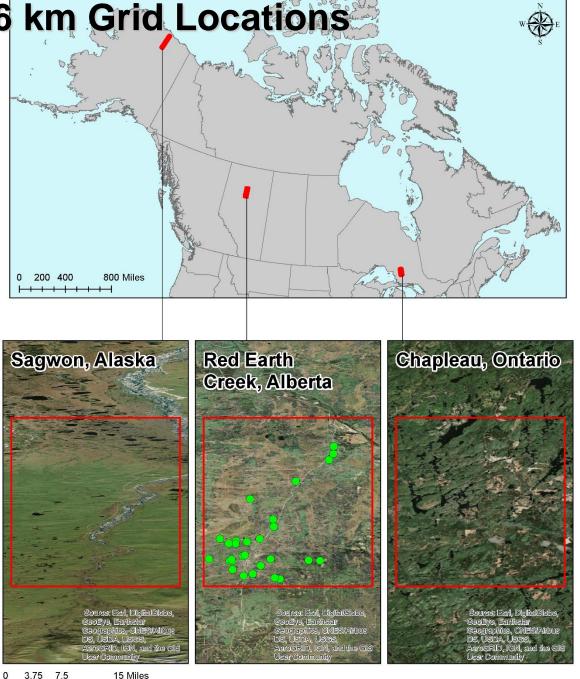
SMAP Field Study 36 km Grid Locations

Tundra - Alaska *Ecosystem Type* tussock tundra shrubby tundra mesic/wet sedge tundra moist shrub tundra (drainages)

Boreal Peatlands- Alberta Ecosystem Types Wooded Bog Open Fen Treed Fen Deciduous upland coniferous upland

Boreal Uplands - Ontario

Upland Ecosystem Types Aspen Black Spruce Jack Pine 1991 Burn



) 3.75 7.5 15 Miles

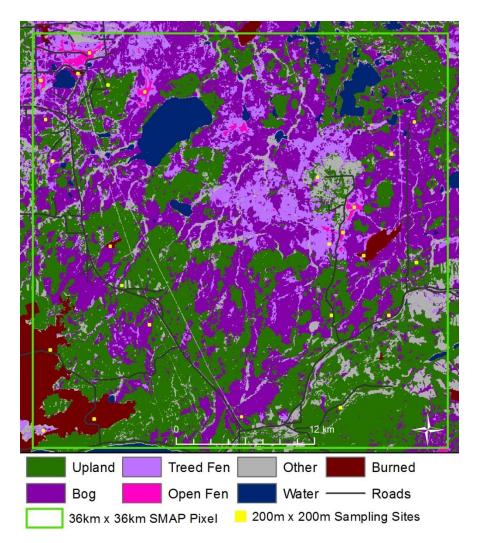


Field Sampling Strategy to Characterize the Spatial Heterogeneity in a SMAP Grid Cell

Within a 36 km SMAP grid will be nested 200 x 200 m sites stratified by cover type within each ecosystem type (boreal peatland, tundra, boreal upland).

Peatland cover type map of Alberta region with a 36 km simulated SMAP pixel demonstrates the spatial heterogeneity.

Each cover type will have 3-5 200 x 200 m sites sampled across the 36 km grid cell.





Obj. 3: Investigate downscaling SMAP to finer resolution with integration of SAR

- Compare passive SMAP data with highresolution SAR imagery to:
 - 1. address the impact of scene heterogeneity and surface water on SMAP results; and
 - 2. investigate methods for downscaling to a finer resolution (0.2 to 3 km) soil moisture product through
 - Re-scaled products will correspond to resolutions between 200 m and 3 km, to facilitate correspondence with MODIS (0.2 to 0.5 km) and weather reanalysis data (3 km).

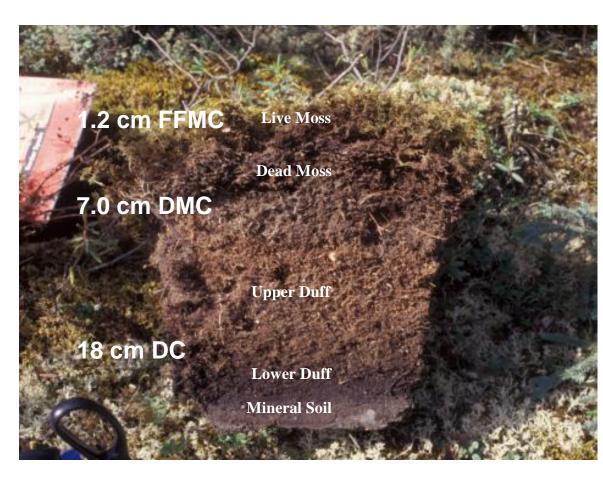


Summary and Next Steps

- SMAP is showing strong correlations to FWI Drought Code which is representative of 15-20 cm moisture depth; previous work with Cband SAR showed similar strong correlations to DC
 - Add 2016 station-based FWI data for Canada; hopefully that improves network coverage for "recommended quality" retrievals
 - Region analysis: can we get better model fit by creating region-specific models?
 - Under Development- comparison of SMAP pre-fires to areas burned in 2015-2016
- Work is underway to continue to develop polarimetric algorithms for soil moisture retrieval
- SMAP 36 km grids will be sampled to understand the spatial heterogeneity within a grid cell and
- through high resolution SAR, fully characterize the spatial and temporal radiometric diversity that exists by covertype in tundra, boreal peatland and boreal upland ecotypes

Contact Information

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- Collaborator Contact: Randi Jandt, UAF
 rjandt@alaska.edu
- Collaborator Contact: Chelene Hanes, CFS <u>chelene.hanes@cana</u> <u>da.ca</u>



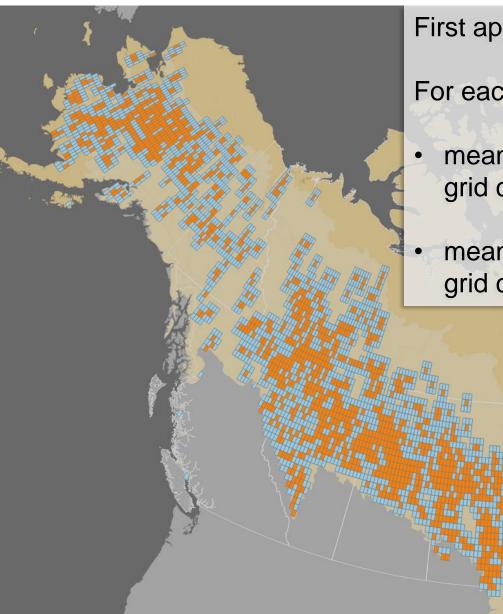


Objective 1:

Evaluate SMAP soil moisture values related to fires

(in progress)

Obj. 1: Evaluate SMAP prior to burns



First approach:

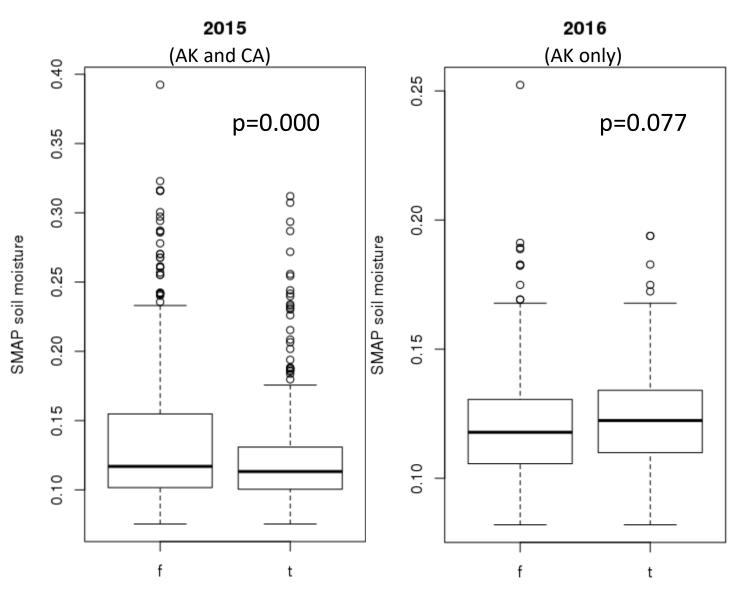
For each year (2015,2016), compare:

mean soil moisture values of SMAP grid cells that had burning (orange) VS

mean soil moisture values of adjacent grid cells that did not burn (blue gray)

Obj. 1: Evaluate SMAP prior to burns

- Results, first approach:
- Significant difference in burned and non-burned SMAP pixels pre-burn for AK and Canada in 2015
- 2016 AK data only, notsignificant



burned



Obj. 1: Evaluate SMAP prior to burns

- Second approach:
 - For each perimeter, compare:
 - mean SMAP-derived soil moisture of burn perimeter 1-week prior to the burn

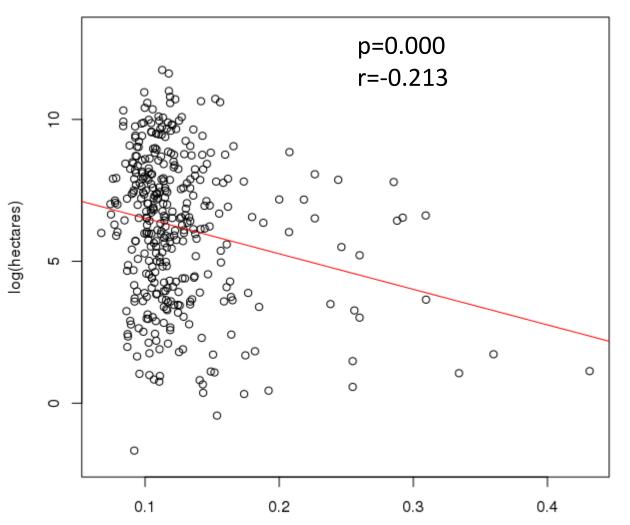
VS

hectares burned



Obj. 1: Evaluate SMAP prior to burns

• Results, second approach:



mean soil moisture 1-week prior to burn at perimeter centroid



Obj. 2: Evaluate SMAP prior to burns

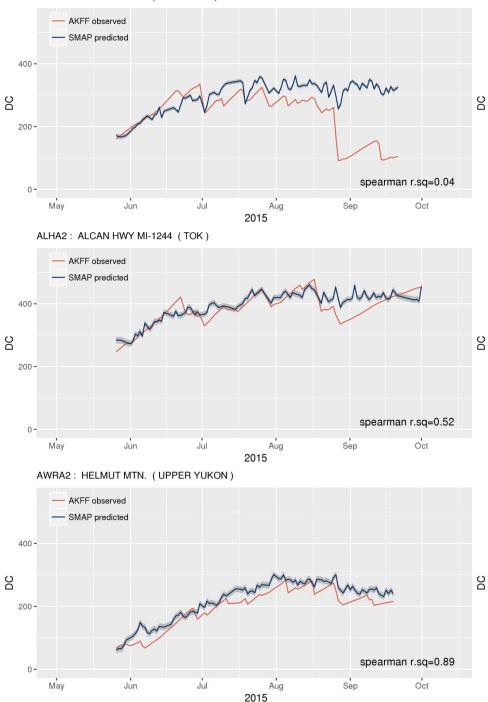
- Next steps:
 - Add 2016 Canada fire perimeter data, re-test 2016
 - Explore additional approaches for determining relationship between pre-burn SMAP-derived soil moisture values w/ burn area
 - Region analysis: are there regions where pre-burn soil moisture is more or less related to burn characteristics?





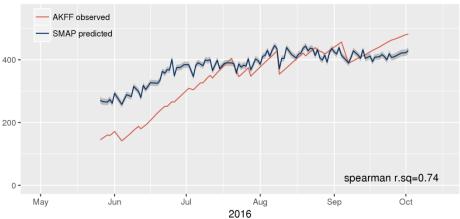
Drought Code (DC) GAM using SMAP data, applied to individual stations (Alaska only)

AGLA2 : ANGEL CREEK (FAIRBANKS)

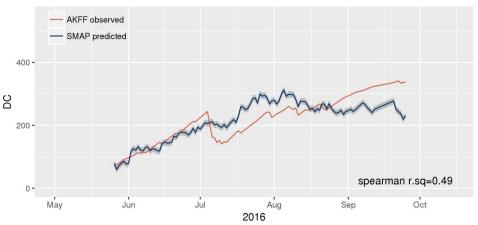


AGLA2 : ANGEL CREEK (FAIRBANKS)

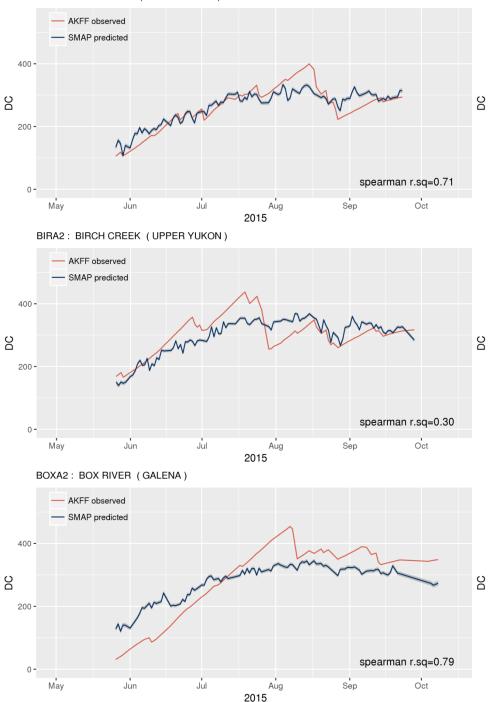




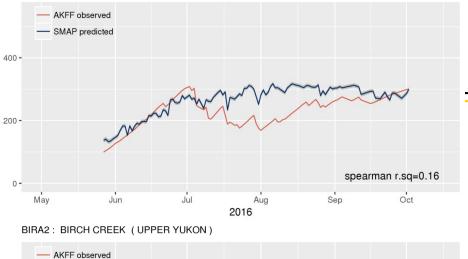
AWRA2 : HELMUT MTN. (UPPER YUKON)



BENA2 : BEN CREEK (UPPER YUKON)

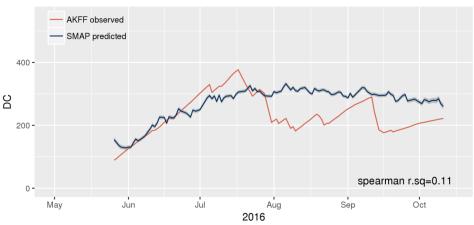


BENA2 : BEN CREEK (UPPER YUKON)

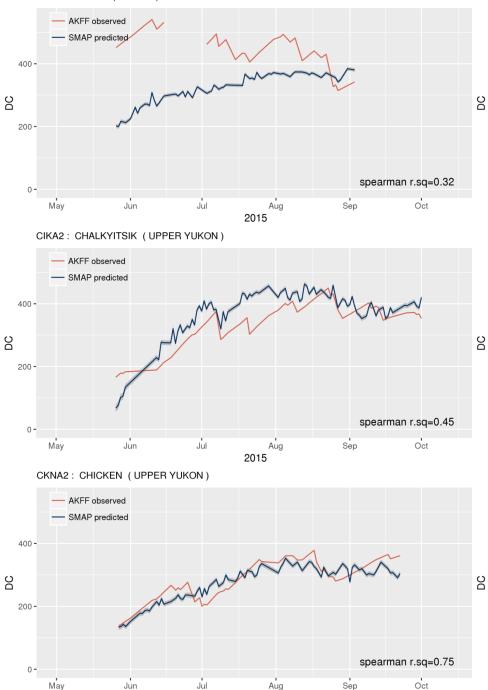




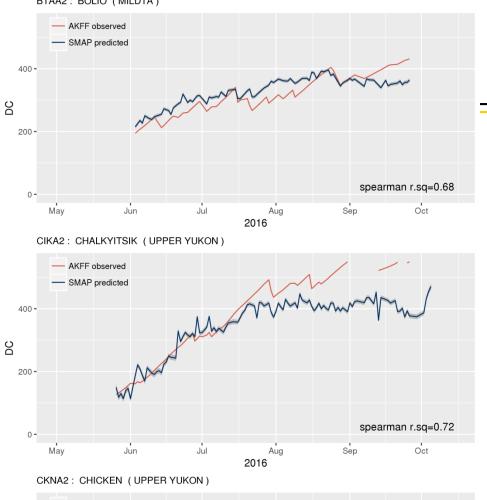
BOXA2 : BOX RIVER (GALENA)

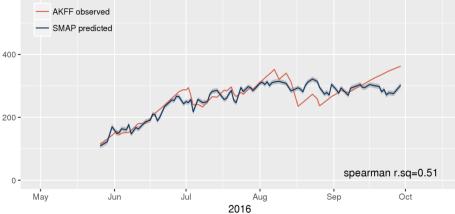


BTAA2: BOLIO (MILDTA)

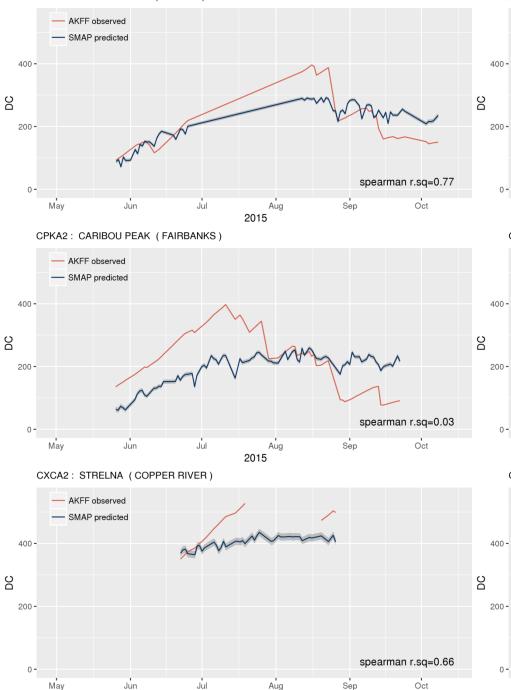


BTAA2: BOLIO (MILDTA)

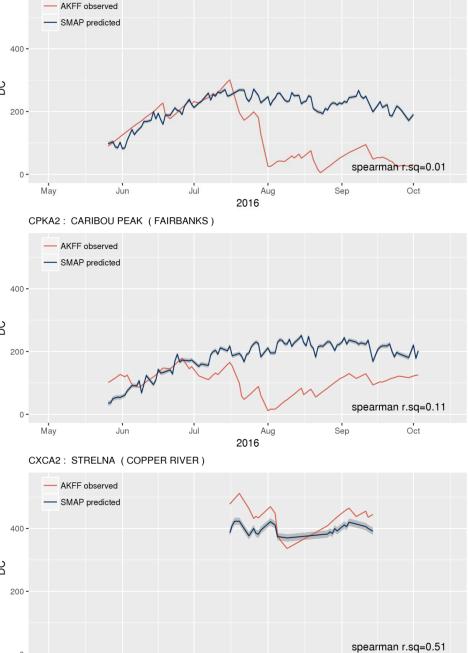




COTA2 : COTTONWOOD (GALENA)



COTA2: COTTONWOOD (GALENA)



Jul Aug 2016

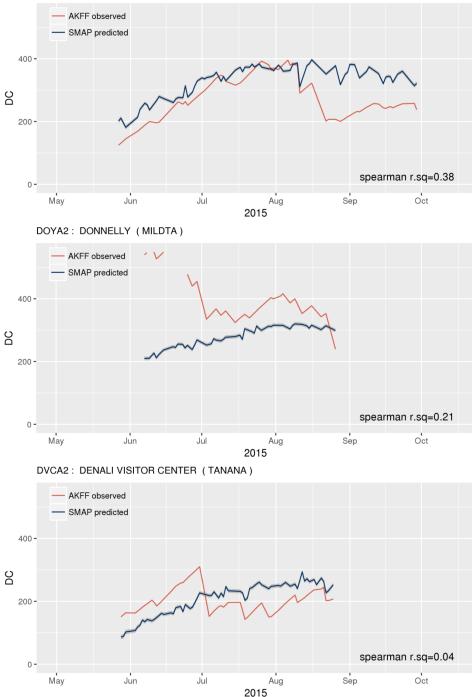
May

Jun

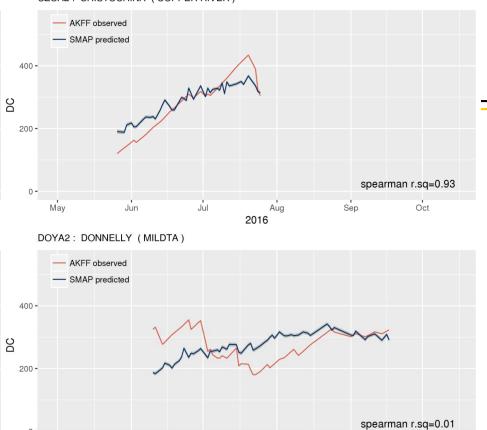
Oct

Sep

CZOA2: CHISTOCHINA (COPPER RIVER)



CZOA2 : CHISTOCHINA (COPPER RIVER)

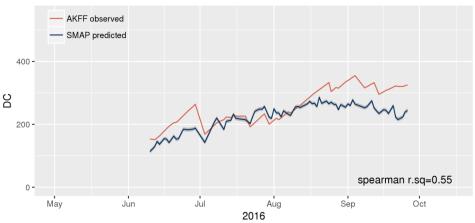




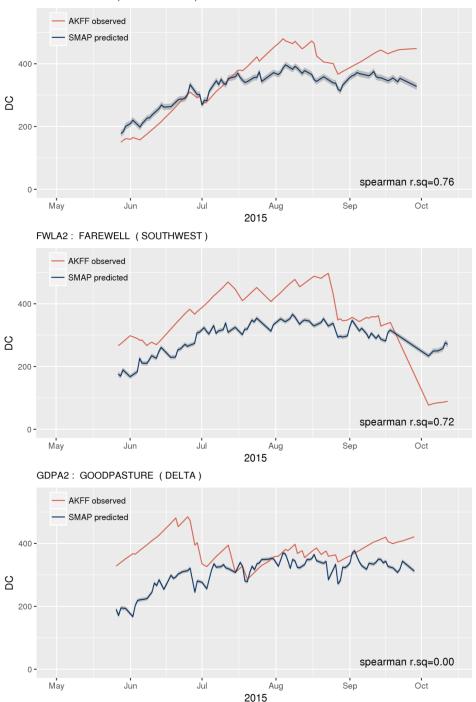
Oct

DVCA2: DENALI VISITOR CENTER (TANANA)

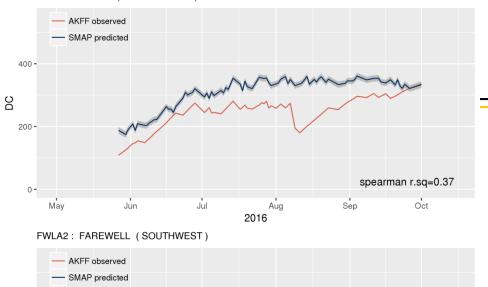
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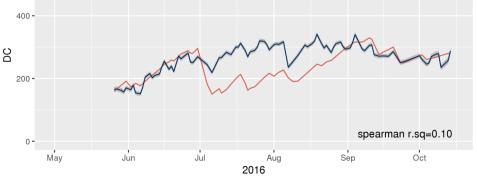


EGYA2 : EAGLE (UPPER YUKON)

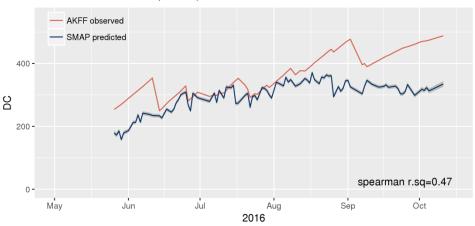


EGYA2 : EAGLE (UPPER YUKON)

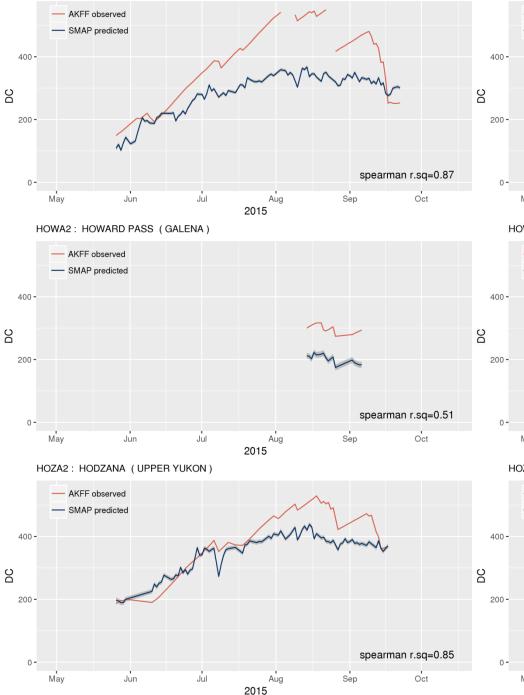


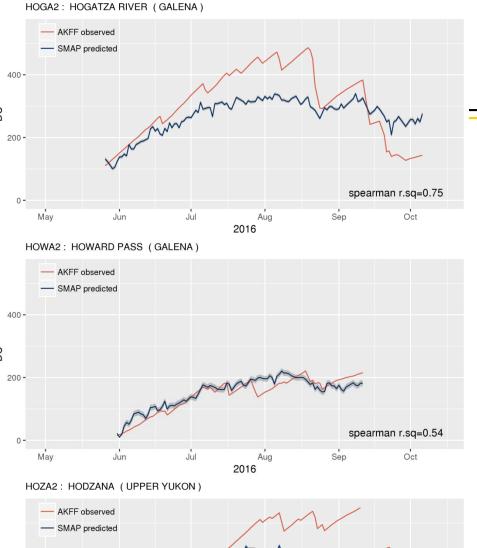


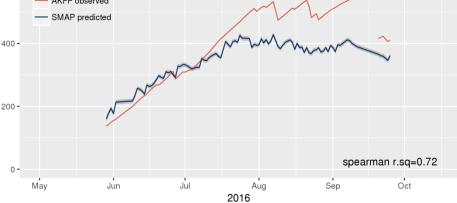
GDPA2: GOODPASTURE (DELTA)



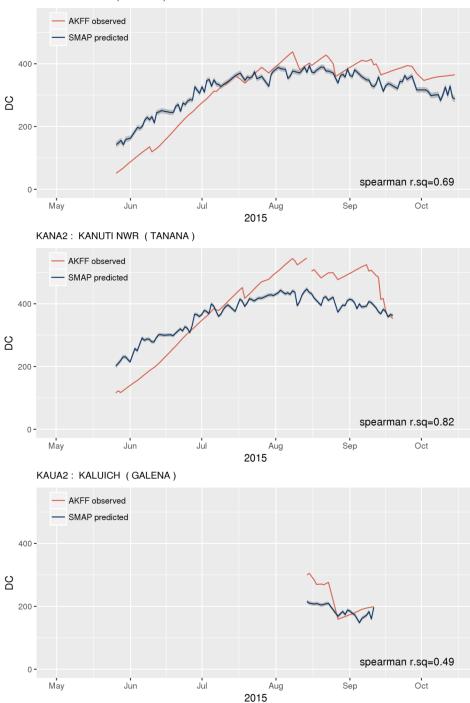
HOGA2 : HOGATZA RIVER (GALENA)



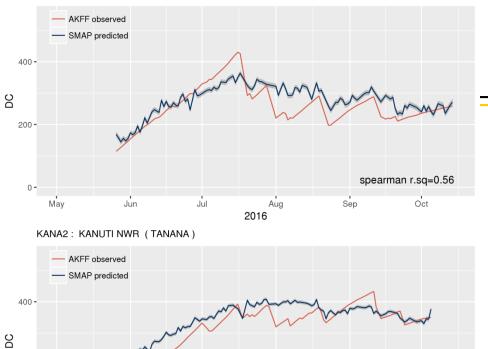




KAIA2: KAIYUH (GALENA)



KAIA2: KAIYUH (GALENA)



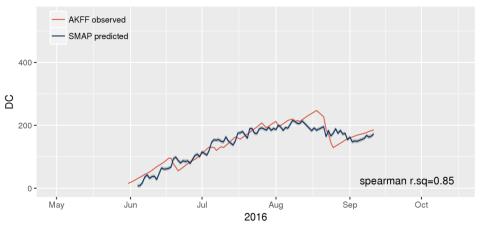


Jun

200 -

0-

May



Aug

2016

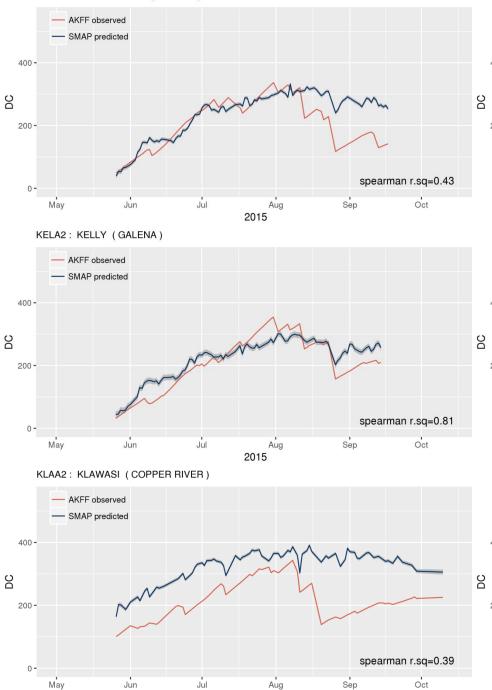
Jul

spearman r.sq=0.59

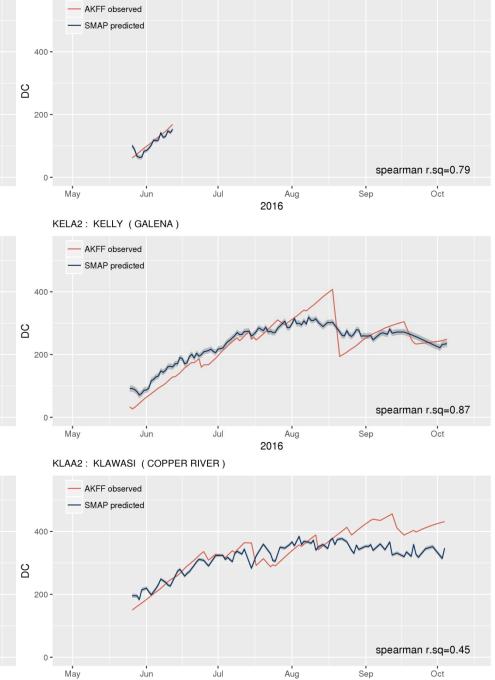
Sep

Oct

KAVA2: KAVET CREEK (GALENA)

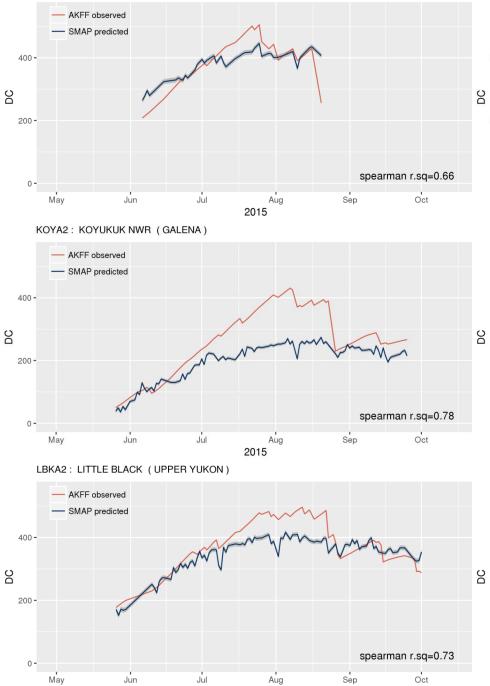


KAVA2: KAVET CREEK (GALENA)

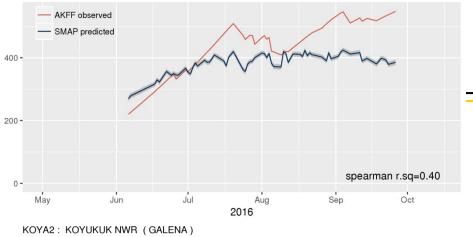


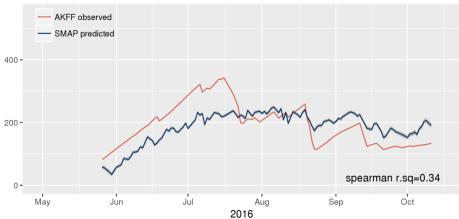
2016

KNY: KENNY LAKE (COPPER RIVER)

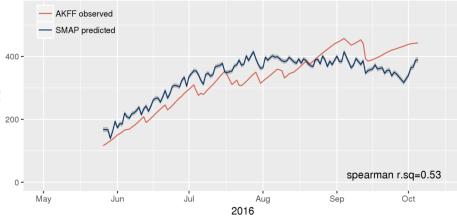


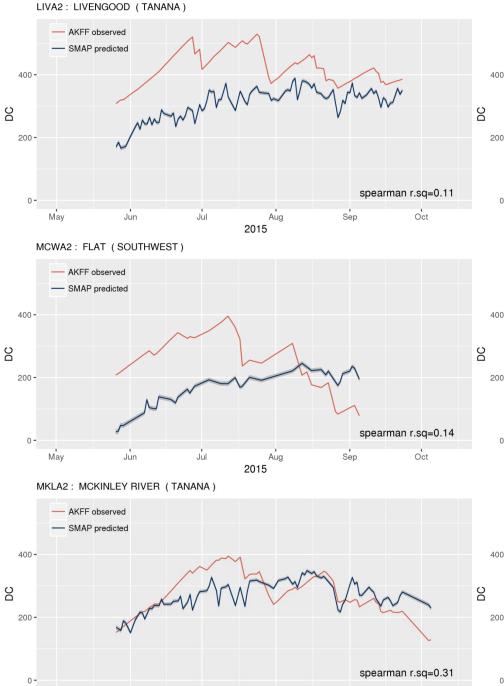
KNY: KENNY LAKE (COPPER RIVER)





LBKA2 : LITTLE BLACK (UPPER YUKON)





Jul

Aug

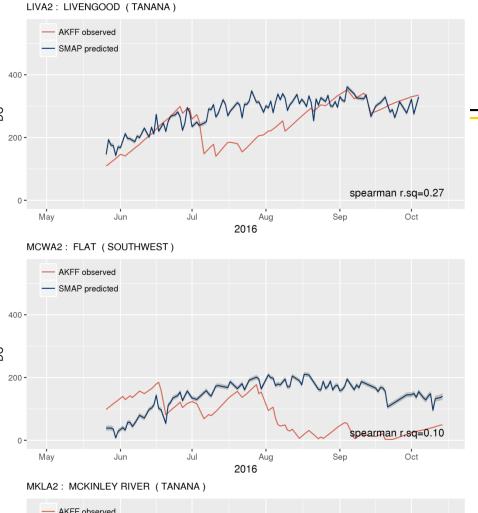
2015

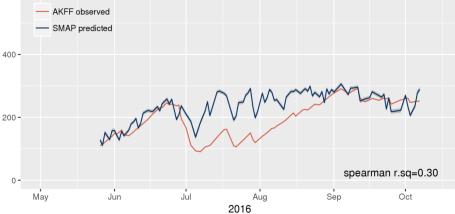
Sep

Oct

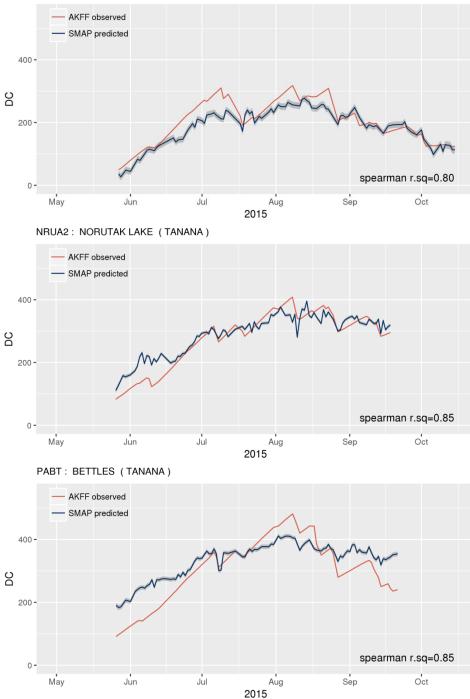
Jun

May



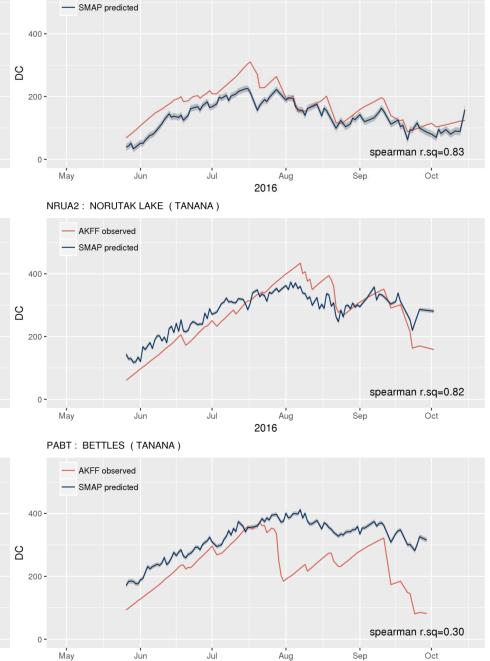


NKOA2: INNOKO FLATS (GALENA)



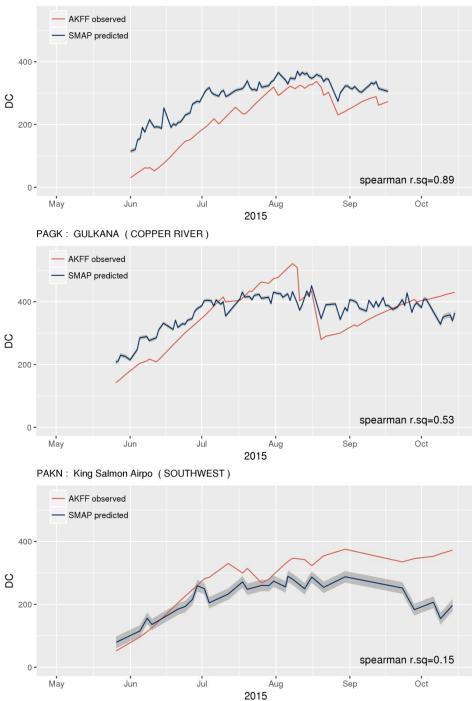
NKOA2 : INNOKO FLATS (GALENA)

- AKFF observed

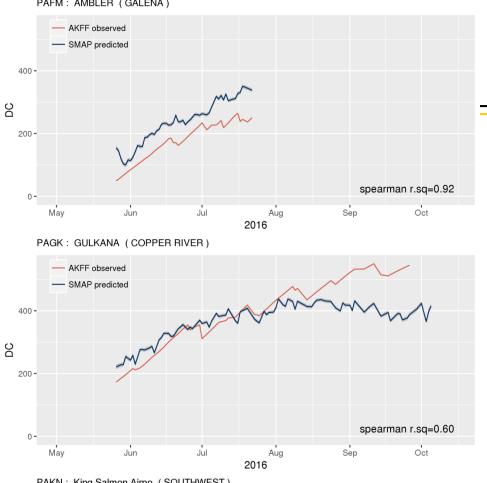


2016

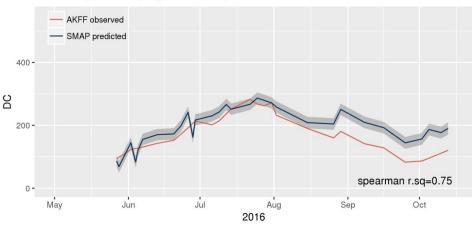
PAFM: AMBLER (GALENA)

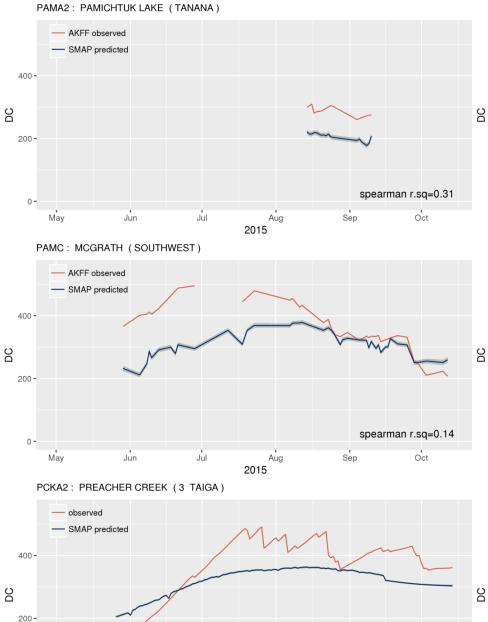


PAFM: AMBLER (GALENA)



PAKN : King Salmon Airpo (SOUTHWEST)





Jul

Aug

2015

Jun

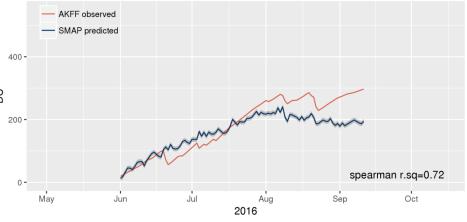
Oct

Sep

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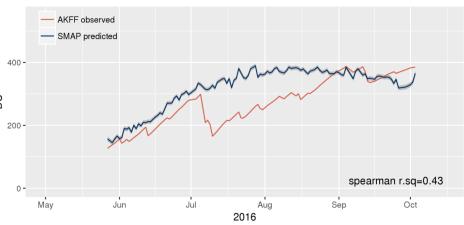
PAMA2 : PAMICHTUK LAKE (TANANA)



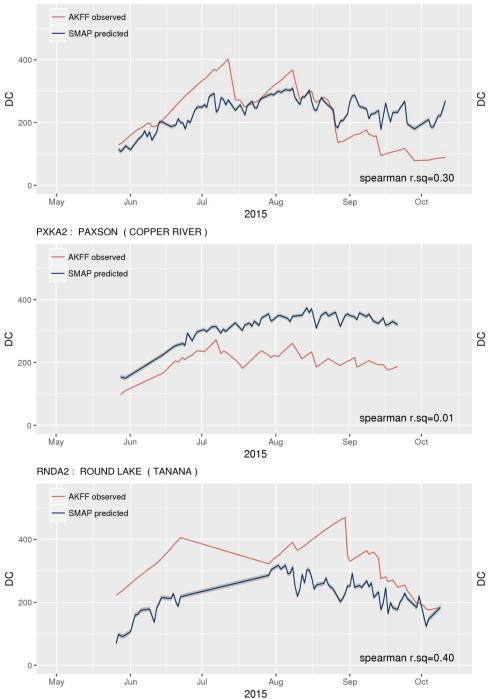
PAMC : MCGRATH (SOUTHWEST)



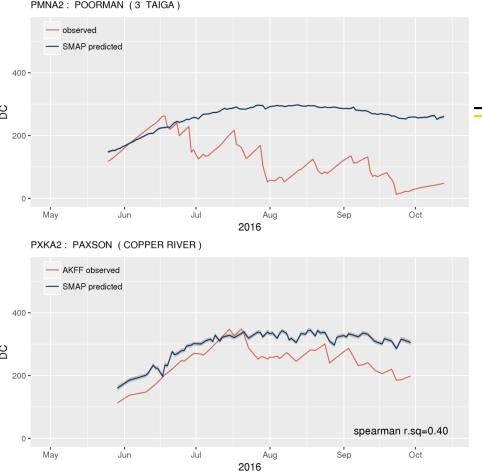
PCKA2: PREACHER CREEK (UPPER YUKON)



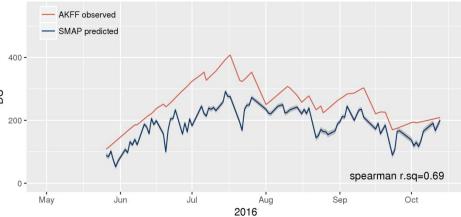
PMNA2: POORMAN (TANANA)



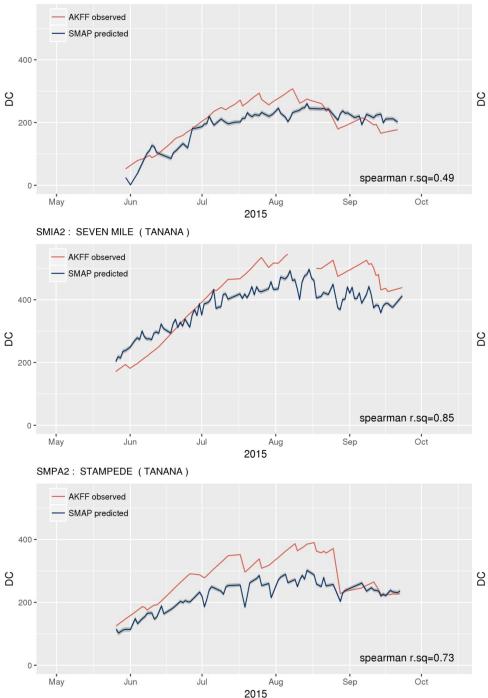
PMNA2: POORMAN (3 TAIGA)

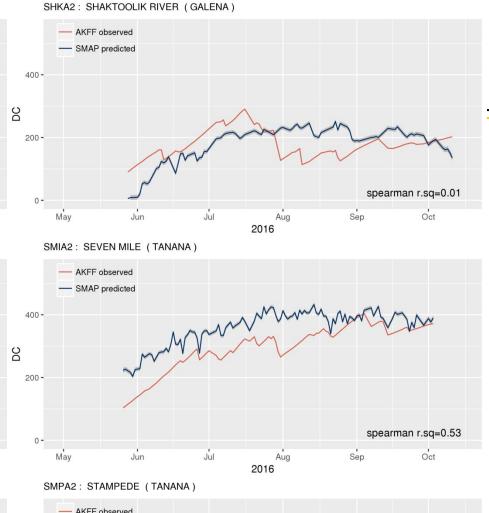


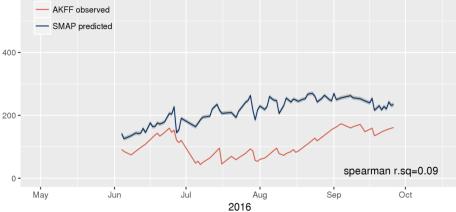
RNDA2: ROUND LAKE (TANANA)



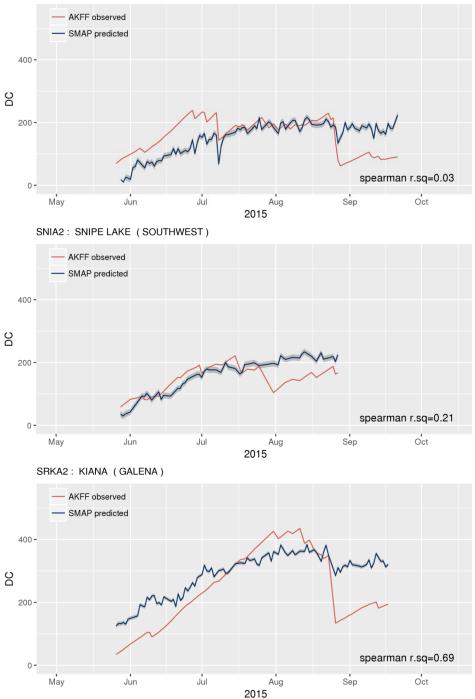
SHKA2 : SHAKTOOLIK RIVER (GALENA)







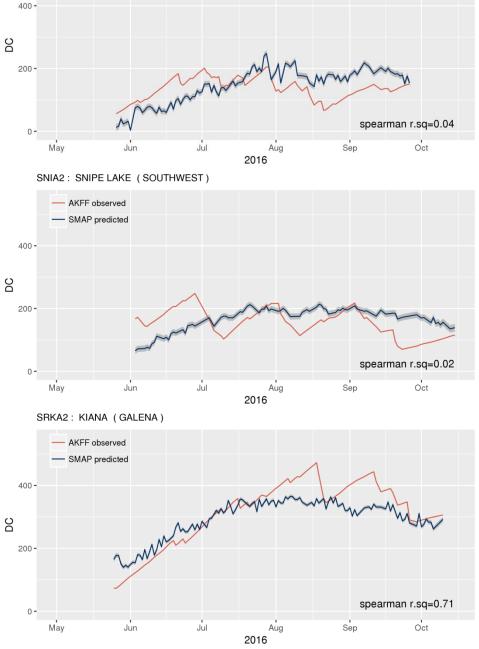
SMTA2 : SALMON TROUT (UPPER YUKON)



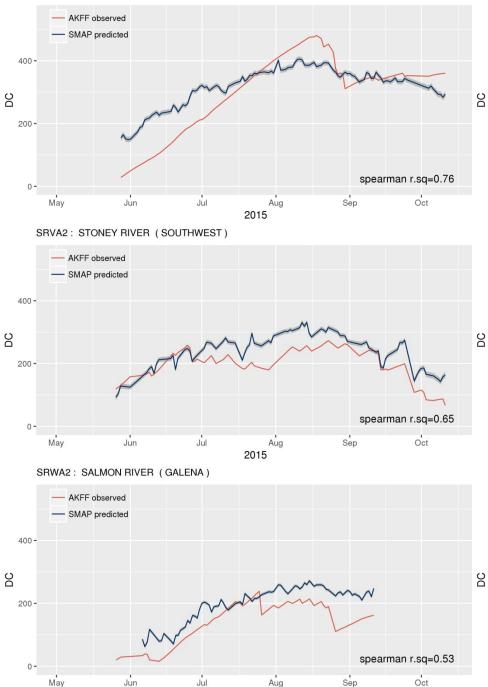
SMTA2 : SALMON TROUT (UPPER YUKON)

- AKFF observed

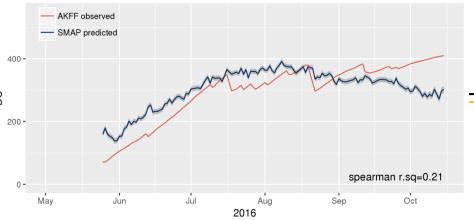
- SMAP predicted



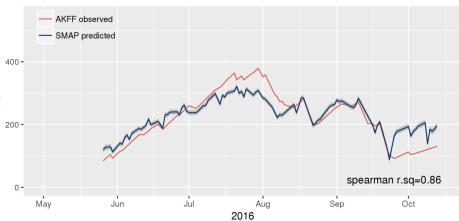
SRTA2: SERPENTINE (GALENA)



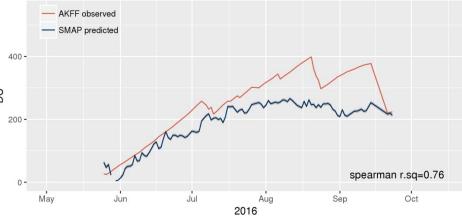
SRTA2: SERPENTINE (GALENA)



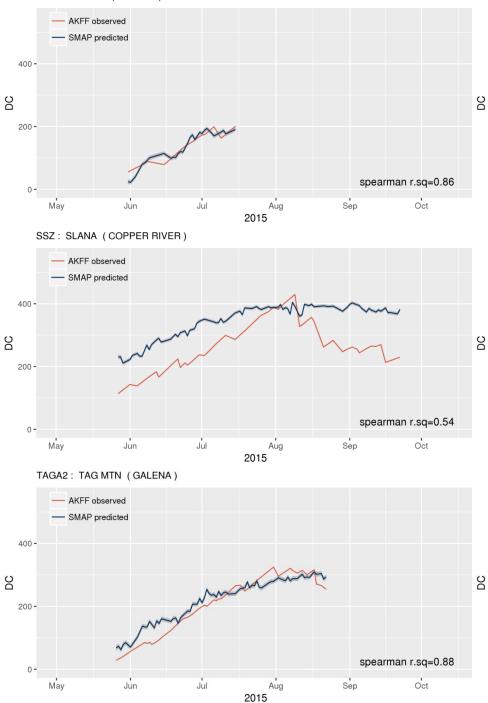
SRVA2 : STONEY RIVER (SOUTHWEST)



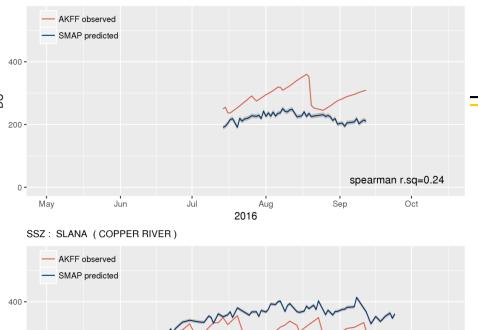
SRWA2: SALMON RIVER (GALENA)



SSIA2: SISIAK (GALENA)



SSIA2 : SISIAK (GALENA)



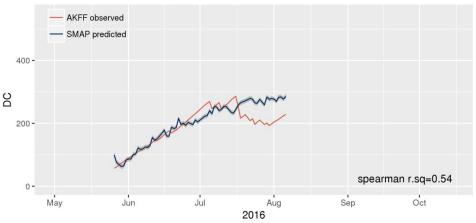


Jun

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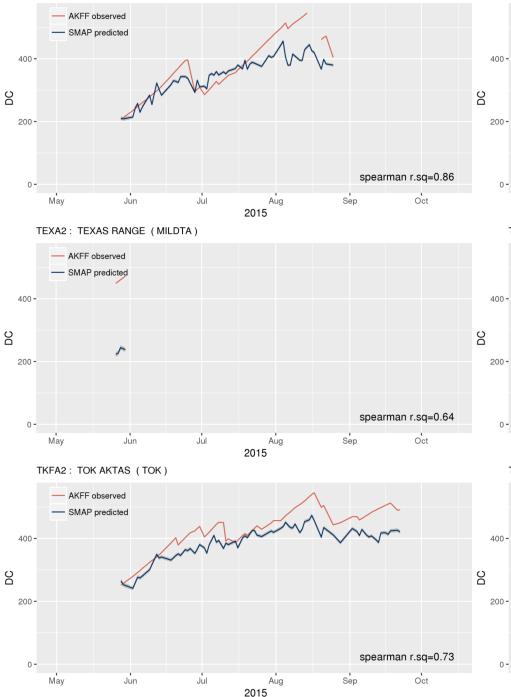
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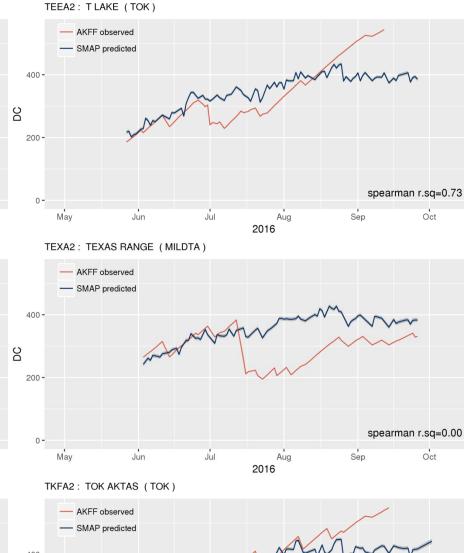
spearman r.sq=0.31

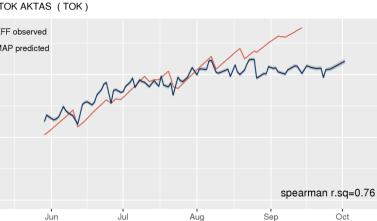
Sep

Oct





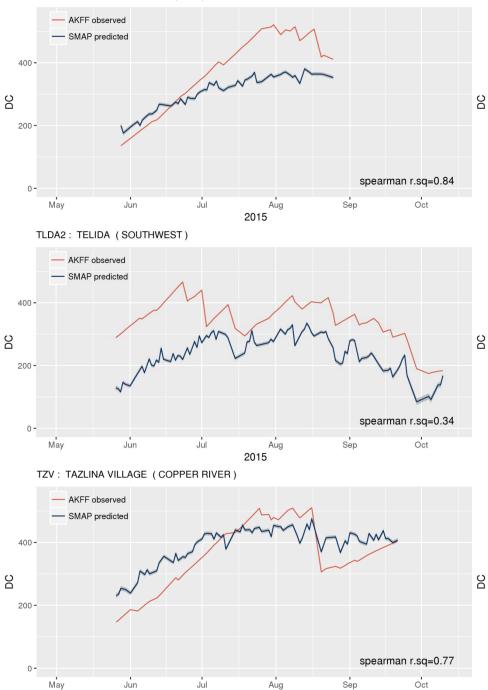




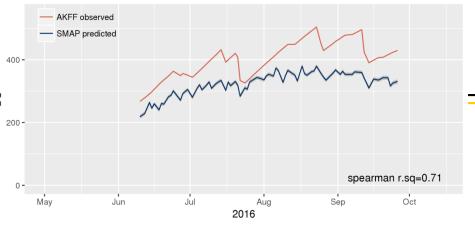
2016

May

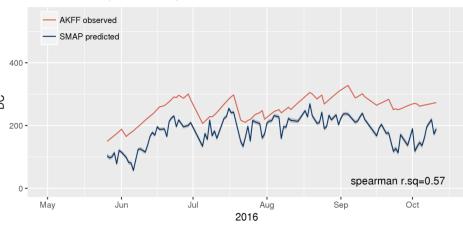
TKRA2 : TOK RIVER VALLEY (TOK)



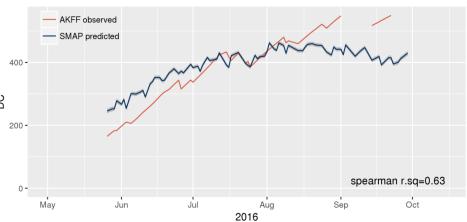
TKRA2: TOK RIVER VALLEY (TOK)



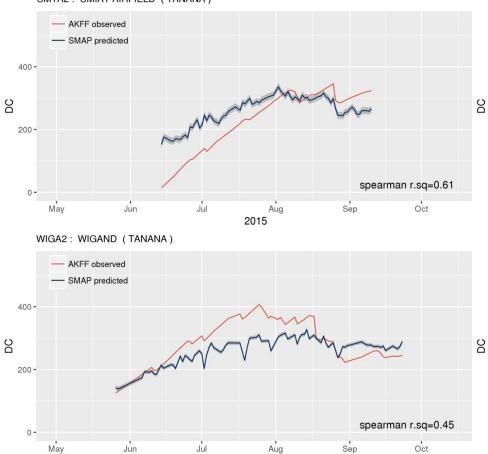
TLDA2: TELIDA (SOUTHWEST)



TZV: TAZLINA VILLAGE (COPPER RIVER)



UMTA2: UMIAT AIRFIELD (TANANA)



UMTA2: UMIAT AIRFIELD (TANANA)

Jun

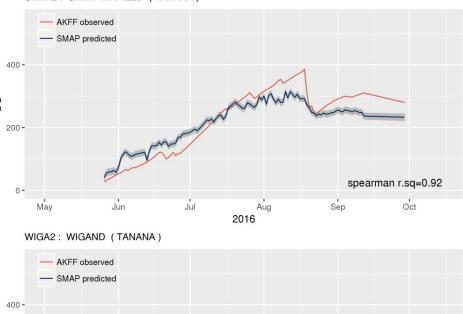
Jul

Aug 2016

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May

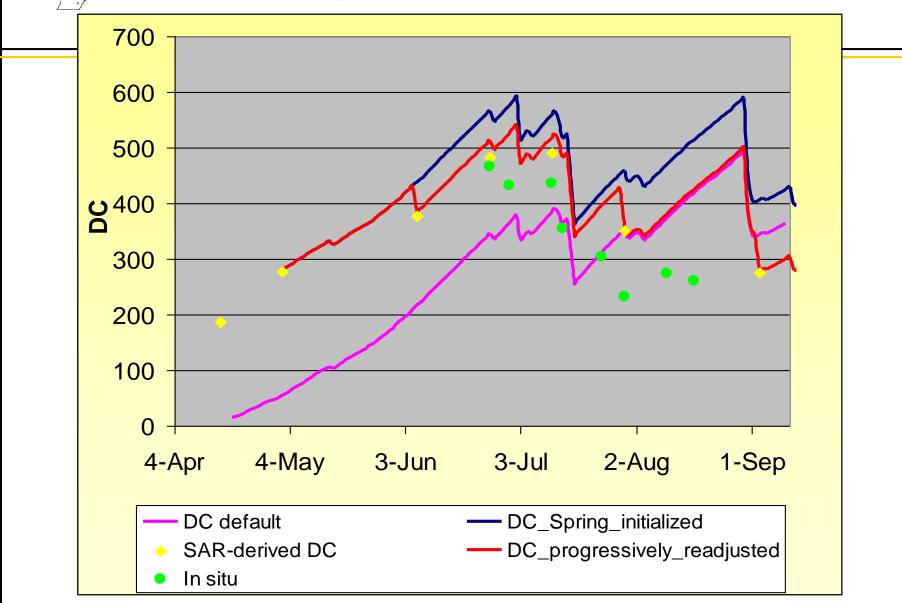


spearman r.sq=0.00

Sep

Oct

Adjusting FWI with C-band SAR



Bourgeau-Chavez et al. 1999 IJWF, 2007 Polar Record